

DEFENDANT INFORMATION RELATIVE TO A CRIMINAL ACTION - IN U.S. DISTRICT COURT

BY: ☐ INFORMATION ☐ INDICTMENT ☒ COMPLAINTCASE NO. 1-20 MJ 18 LDAMatter Sealed: ☐ Juvenile ☐ Other than Juvenile☐ Pre-Indictment Plea ☐ Superseding ☐ Defendant Added
☐ Indictment ☐ Charges/Counts Added
☐ Information

Name of District Court, and/or Judge/Magistrate Location (City)

UNITED STATES DISTRICT COURT RHODE ISLAND
DISTRICT OF RHODE ISLAND Divisional OfficeName and Office of Person AARON WEISMAN
Furnishing Information on ☒ U.S. Atty ☐ Other U.S. Agency
THIS FORM Phone No. (401) 709-5000Name of Asst. Gerard B. Sullivan
U.S. Attorney
(if assigned)

PROCEEDING

Name of Complainant Agency, or Person (& Title, if any)
Homeland Security Investigations☐ person is awaiting trial in another Federal or State Court
(give name of court)☐ this person/proceeding transferred from another district
per (circle one) FRCrP 20, 21 or 40. Show District☐ this is a reprosecution of charges
previously dismissed which were
dismissed on motion of:☐ U.S. Atty ☐ Defense☐ this prosecution relates to a
pending case involving this same
defendant. (Notice of Related
Case must still be filed with the
Clerk.)☐ prior proceedings or appearance(s)
before U.S. Magistrate Judge
regarding this defendant were
recorded underSHOW
DOCKET NO.MAG. JUDGE
CASE NO.Place of RHODE ISLAND
offense County

USA vs.

Defendant: David M. Esser

Address

☐ InBirth
Date

Social Security

Issue: ☒ W

Location Status:

Arrest Date _____ or Date Transferred to Federal Custody _____

☐ Currently in Federal Custody☐ Currently in State Custody☐ Writ Required☐ Currently on bond☐ Fugitive

Defense Counsel (if any): _____

☐ FPD ☐ CJA ☐ RET'D☐ Appointed on Target Letter☐ This report amends AO 257 previously submitted

OFFENSE CHARGED - U.S.C. CITATION - STATUTORY MAXIMUM PENALTIES - ADDITIONAL INFORMATION OR COMMENTS

Total # of Counts 7

Set	Title & Section/Offense Level (Petty = 1 / Misdemeanor = 3 / Felony = 4)	Description of Offense Charged	Felony/Misd.
	See Attached Sheet.	See Attached Sheet.	<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor
			<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor
			<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor
			<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor
		Estimated Trial Days: 14 days	<input checked="" type="checkbox"/> Felony <input type="checkbox"/> Misdemeanor

United States v. David M. Esser, et al.
COMPLAINT COVER SHEET ATTACHMENT

Count 1: (Manufacturing and Distributing a Controlled Substance, 21 U.S.C. § 841(a)(1))

MAX PENALTY

- a. 10 years imprisonment
- b. 3 years Supervised Release
- c. \$500,000 Fine
- d. \$100 Special Assessment

Count 2: (Conspiracy to Distribute a Controlled Substance, 21 U.S.C. § 846)

MAX PENALTY

- a. 10 years imprisonment
- b. 3 years Supervised Release
- c. \$500,000 Fine
- d. \$100 Special Assessment

Count 3: (Importation of Controlled Substances, 21 U.S.C. § 952)

- a. 10 years imprisonment
- b. 3 years Supervised Release
- c. \$500,000 Fine
- d. \$100 Special Assessment

Count 4: (Trafficking in Counterfeit Goods and Services, 18 U.S.C. § 2320)

- a. 10 years imprisonment
- b. 3 years Supervised Release
- c. \$2,000,000 Fine
- d. \$100 Special Assessment

Count 5: (Conspiracy/Money Laundering, 18 U.S.C. § 371)

- a. 10 years imprisonment
- b. 3 years Supervised Release
- c. \$500,000 Fine
- d. \$100 Special Assessment

Count 6: (Wire Fraud 18 U.S.C. 1343)

- a. 20 years imprisonment
- b. 3 years Supervised Release
- c. \$1,000,000 Fine
- d. \$100 Special Assessment

Count 7: (Money Laundering, 18 U.S.C. §§ 1956 and 1957)

- a. 20 years imprisonment
- b. 3 years Supervised Release
- c. \$500,000 Fine
- d. \$100 Special Assessment